#### In case of a JV, Each member must submit FIN-1

#### Form FIN - 1: Historical Financial Performance

Each Bidder m	ust fill out	this	form
---------------	--------------	------	------

In case of a Joint Venture, each Joint Venture Partner must fill out this form separately and provide the Joint Venture Partner's name:

Joint Venture Partner:

Financial Data for Previous three (3) Years [IN PHILIPPINE PESO]					
2021	2022	2023			

#### Information from Balance Sheet

Total Assets (TA)			
Total Liabilities (TL)	Drovido	complete in	formation
Net Worth =TA - TL		complete ir	
Current Assets (CA)	_	e consisten	t with
Current Liabilities (CL)	submitte	d AFS	
Working Capital = CA - CL			

Most Recent	To be obtained for most recent year and carried
Working Capital	forward to FIN - 3 Line 1; in case of Joint Ventures, to
	the corresponding Joint Venture Partner's FIN - 3.

#### Information from Income Statement

Total Revenues		
Profits Before Taxes		
Profits After Taxes		

- Attached are copies of financial statements (balance sheets including all related notes, and income statements) for the last \_ years, as indicated above, complying with the following conditions.
  - Unless otherwise required by Section 3 of the Bidding Document, all such documents reflect the standalone financial situation
    of the legal entity or entities comprising the Bidder and not the Bidder's parent companies, subsidiaries, or affiliates.
  - Historical financial statements must be audited by a certified accountant
  - B) Historical financial statements must be complete, including all notes to the financial statements.
  - 4) Historical financial statements must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).

# **Attachments: AFS for 2021, 2022, 2023**

- AFS of the legal entity or entities comprising the Bidder and <u>not</u> the Bidder's parent companies, subsidiaries, or affiliates.
- 2. AFS must be audited by a certified accountant.
- 3. AFS must be complete, including all notes to the financial statements.
- 4. AFS must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).

#### **FINANCIAL SITUATION**

**Average Annual Construction Turnover** 

Criteria	Compliance Requirements			Documents		
		Joint Venture			Cubaria dan	
Requirement	Single Entity	All Partners Combined	Each Partner	One Partner	Submission Requirements	
Minimum average annual construction turnover of	Must meet requirement	Must meet requirement	Must meet 25%	Must meet 40%	Form FIN - 2	
PHP213,000,000, calculated as total certified payments received			of the requirement	of the requirement		
for contracts in progress or completed for years 2017, 2018, 2019, 2022 and 2023		PHP	53.26M	PHP85.2	M	

#### In case of a JV, Each member must submit FIN-2

#### Form FIN - 2: Average Annual Construction Turnover

Each Bidder must fill out this form.

The information supplied should be the Annual Turnover of the Bidder or each partner of a Joint Venture for the total certified payments received from the clients for contracts in progress or completed, converted to US dollars at the rate of exchange at the end of the period reported.

In case of a Joint Venture, each Joint Venture Partner must fill out this form separately and provide the Joint Venture Partner's name:

Joint Venture Partner: Write JV Member Name

	Annual Turnover Data for the Last	three (3) Years (Cor	nstruction only)				
Year	Amount Currency	Exchange Rate	PHP Equivalent				
2017	Provide complete in	formation					
2018	Should be consisten		tted AFS				
2019	Do not subm	t a NFC	Computatio	n.			
2022							
2023				/			
	Average Annual Construction Turnover						

#### **FINANCIAL SITUATION**

**Financial Resources** 

#### 2.3.3 Financial Resources

If the bid evaluation process and the decision for the award of the Contract takes more than 1 year from the date of bid submission, Bidders may be asked to resubmit their current contract commitments and latest information on financial resources supported by latest audited accounts or audited financial statements, or if not required by the law of the Bidder's country, other financial statements acceptable to the Employer, and the Bidders' financial capacity, will be reassessed on this basis.

Criteria	Compliance Requirements				Documents
	Single		loint Venture	9	Submission
Requirement	Entity	All Partners Combined	Each Partner	One Partner	Requirements
For Single Entities The Bidder must demonstrate that its financial resources defined in FIN - 3, less its financial obligations for its current contract commitments defined in FIN - 4, meet or exceed the total requirement for the Subject Contract of PHP 36 million.	Must meet	Not	Not	Not	Form FIN – 3 and
	requirement	applicable	applicable	applicable	Form FIN – 4
For Joint Ventures  (1) One partner must demonstrate that its financial resources defined in FIN - 3, less its financial obligations for its own current contract commitments defined in FIN - 4, meet or exceed its required share of 40% from the total requirement for the Subject Contract.	Not	Not	Not	Must meet	Form FIN – 3 and
	applicable	applicable	applicable	requirement	Form FIN – 4
(2) Each partner must demonstrate that its financial resources defined in FIN - 3, less its financial obligations for its own current contract commitments defined in FIN - 4, meet or exceed its required share of 25% from the total requirement for the Subject Contract.	Not	Not	Must meet	Not	Form FIN – 3 and
	applicable	applicable	requirement	applicable	Form FIN – 4
(3) The Joint Venture must demonstrate that the combined financial resources of all partners defined in FIN - 3, less all the partners' total financial obligations for the current contract commitments defined in FIN - 4, meet or exceed the total requirement for the Subject Contract of PHP 36 million.	Not	Must meet	Not	Not	Form FIN – 3 and
	applicable	requirement	applicable	applicable	Form FIN – 4

#### In case of a JV, Each member must submit FIN-3

#### Form FIN – 3: Availability of Financial Resources

Bidder must demonstrate sufficient financial resources, usually comprising of Working Capital supplemented by credit line statements or overdraft facilities and others to meet the Bidder's financial requirements for

- (a) its current contract commitments, and
- (b) the subject contract.

In case of a Joint Venture, each Joint Venture Partner must fill out this form separately and provide the Joint Venture Partner's name:

Joint Venture Partner: Write JV Member Name

Financial Resources						
No.	Source of fina	Amount (PHP)				
1	Working Capital (to be taken from FIN - 1)	Provide complete i	1			
2	Credit Line <sup>a</sup>	•	oof from issuing bank. "Not Applicable" "N	A"	a	
3	Other Financial Resources	applicable				
		Total Available Financial Resources				

To be substantiated by a letter from the bank issuing the line of credit.

#### In case of a JV, Each member must submit FIN-4

#### Form FIN- 4: Financial Resources Requirement

Bidders (or each Joint Venture partner) should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

In case of a Joint Venture, each Joint Venture Partner must fill out this form separately and provide the Joint Venture Partner's name:

Joint Venture Partner: Write JV Member Name

		5				
No.	Name of Contract	Employer's Contact (Address, Tel, Fax)	Contract Completion Date	Outstanding Contract Value (X) <sup>a</sup>	Remaining Contract Period in months (Y) b	Monthly Financial Resources Requirement (X / Y)
1	Provi	de complete	information.			
2	Writ	e "None"	"Not Appli	cable" "N	A" if bid	der do not
3		e ongoing p				
4						
		PHP				

Remaining outstanding contract values to be calculated from 28 days prior to the bid submission deadline (PHP equivalent based on the foreign exchange rate as of the same date).

Remaining contract period to be calculated from 28 days prior to bid submission deadline.

#### Not required to be submitted.

### Form FIN - 5: Self-Assessment Tool for Bidder's Compliance to Financial Resources (Criterion 2.3.3 of Section 3)

This form requires the same information submitted in Forms FIN - 3 and FIN - 4. All conditions of "Available Financial Resources Net of CCC ≥ Requirement for the Subject Contract" must be satisfied to qualify.

Form FIN - 5A: For Single Entities

For Single Entities: (A)	Total Available Financial Resources from FIN – 3 (B)	Total Monthly Financial Requirement for Current Contract Commitments (CCC) from FIN – 4 (C)	Available Financial Resources Net of CCC D = (B - C)	Requirement for the Subject Contract (E)	Results: Yes or No [D must be greater than or equal to E] (F)
(Name of Bidder)					

Form FIN - 5B: For Joint Ventures

1011111111	3B. FOR JOINL VE	iituies			
For Joint Ventures: (A)	Total Available Financial Resources from FIN – 3 (B)	Total Monthly Financial Requirement for Current Contract Commitments (CCC) from FIN – 4 (C)	Available Financial Resources Net of CCC D = (B - C)	Requirement for the Subject Contract (E)	Results: Yes or No [D must be greater than or equal to E] (F)
One Partner:					
(Name of Partner)					
Each Partner:					
(Name of Partner 1)					
(Name of Partner 2)					
(Name of Partner 3)					
All partners combined		ailable financial resources net of ommitments for all partners	ΣD =		

Form FIN – 5 is made available for use by the bidder as a self-assessment tool, and by the Employer as an evaluation work sheet, to determine compliance with the financial resources requirement as stated in 2.3.3.

Failure to submit Form FIN - 5 by the Bidder shall <u>not</u> lead to bid rejection.

#### Note -

Form FIN – 5 is made available for use by the bidder as a self-assessment tool, and by the Employer as an evaluation work sheet, to determine compliance with the financial resources requirement as stated in 2.3.3. Failure to submit Form FIN - 5 by the Bidder shall not lead to bid rejection.

# DESIGN & CONSTRUCTION EXPERIENCE

### **Attachments:**

1. Signed Contract Agreement

### <u>And</u>

2. Taking-Over Certificate, Certificate of Completion of the Works (or equivalent) or Performance Certificate.

#### 2.4.1 Contracts of Similar Size and Nature

Criteria		Documents			
			Joint Venture	Outsulantan	
Requirement	Single Entity	All Partners Combined	Each Partner	One Partner	Submission Requirements
Participation as a contractor, Joint Venture partner, or Subcontractor, in at least one (1) contract that have been satisfactorily and substantially completed within the last five (5) years and that are similar to the proposed works, where the value of the Bidder's participation under each contract exceeds PHP128 million. The similarity of the Bidder's participation shall be based on the requirements in Section 6 of this bidding document.  OR  Participation as a contractor, Joint Venture partner, or Subcontractor, in at least two contracts that have been satisfactorily and substantially completed within the last five (5) years and that are similar to the proposed works, where the value of the Bidder's participation under each contract exceeds PHP64 million. The similarity of the Bidder's participation shall be based on the requirements in Section 6 of this bidding document.	Must meet requirement	Must meet requirement as follows: (i) Either one partner must meet requirement Or (ii) any two partners must each demonstrate one (1) satisfactorily and substantially completed contract of similar size and nature	Not applicable <sup>c</sup>	Not applicable	Attachments:  1. Signed Contract Agreement, and  2. Taking-Over Certificate, Certificate of Completion of the Works (or equivalent) or Performance Certificate.  Note: If the documents are not in English, an accurate certified translation of these documents in English shall be provided.

#### Fill up **One (1) form** per contract

#### Form EXP - 1: Contracts of Similar Size and Nature

Fill up one (1) form per contract. Each contract shall be supported by documents such as Signed Contract Agreement or Certificate of Completion of the Works.

The exchange rate to be used to calculate the value of the contract for conversion to a specific currency shall be the selling rate of the Borrower's Central bank on the date of the contract.

	Contract of Simila	ar Size and Nature
Contract No of	Contract Identification	
Award Date		Completion Date
Total Contract Amount	PHP	
If partner in a Joint Venture or Subcontractor, specify participation of total contract amount	Percent of Total	Amount
Employer's Name Address Telephone/Fax Number E-mail		
Description of the Si	milarity in Accordance w Qualification	ith Criterion 2.4.1 of Section 3 (Evaluation and on Criteria)

Provide complete information, specifically the Total Contract Amount in PHP

# CONSTRUCTION EXPERIENCE IN KEY ACTIVITIES

### **Attachments:**

1. Signed Contract Agreement

### <u>And</u>

2. Taking-Over Certificate, Certificate of Completion of the Works (or equivalent) or Performance Certificate.

#### Table A

Criteria	Compliance	Requirements	Documents
Requirement	Single Entity	Joint Venture	Submission Requirements
For the above or other contracts ex construction experience in the follow		d stipulated in 2.4.1, a	minimum
1 Design of a building or facility, at least two (2) storeys, with a minimum floor area of 2,000 sq.m.	Must meet requirement	Must meet requirement	Attachments:  1. Signed Contract Agreement,  and  2. Taking-Over Certificate, Certificate of Completion of the Works (or equivalent) or Performance Certificate.
2 Construction and commissioning of a building or facility with a minimum floor area of 2,000 sq.m.	Must meet requirement	Must meet requirement	Form EXP – 2  Attachments:  1. Signed Contract Agreement,  and  2. Taking-Over Certificate, Certificate of Completion of the Works (or equivalent) or Performance Certificate.

#### Fill up **One (1) form** per contract

#### Form EXP - 2: Construction Experience in Key Activities

Fill up one (1) form per contract. Each contract shall be supported by documents such as Signed Contract Agreement or Certificate of Completion of the Works.

Each Bidder must fill out this form.

If complied by Specialist Subcontractor, each Specialist Subcontractor must fill out this form and provide the Specialist Subcontractor's name:

Specialist Subcontractor:

	Contract with Sim	nilar Key Activities
Contract No of	Contract Identification	
Award Date		Completion Date
Total Contract Amount	РНР	
If partner in a Joint Venture or Subcontractor, specify participation of total contract amount	Percent of Total	Amount
Employer's Name Address Telephone Number Fax Number E-mail		
Description of the Key	Activities in Accordance Qualification	with Criterion 2.4.2 of Section 3 (Evaluation and on Criteria)

### Provide complete information.

Note: If only one (1) project reference will be submitted to meet the "Design" experience and "Construction" experience requirement, clearly describe these scope of services / works separately in the form.

# Specific Experience in Managing Environmental, Health and Safety Aspects

Criteria	Compliance	Documents	
Requirement	Single Entity or Its Specialist Subcontractors	Joint Venture or Its Specialist Subcontractors	Submission Requirements
For the contracts in 2.4.1 and 2.4.2 above and/or any other contracts [substantially completed and under implementation] as prime contractor, Joint Venture partner, or Subcontractor between 1st January 2021 and Bid submission deadline, experience in managing EHS risks and impacts in the following aspects:  1. Construction or renovation of an infrastructure project	Must meet requirements	One member must meet requirements  Or  All members must meet requirements	Form EXP – 3

### Fill up **One (1) form** per contract

#### Form EXP – 3: Specific Experience in Managing Environmental, Health and Safety Aspects

Fill out one form per contract.

Each Bidder must fill out this form.

In case of a Joint Venture, each Joint Venture Partner must fill out this form separately and provide the Joint Venture Partner's name:

Joint Venture Partner: Write JV Member Name

Key Requirement no 1 in accordance with Criterion 2.4.3 of Section 3:

Details of relevant experience				rovic	le complete inforn	nat	10n
I otal Contract Amount			US\$				
Total Contract Amount							
	Prime Contractor	Member in JV □	Management Contractor	Subcontracto	<u>Tick</u> the box as applicable		
Role in Contract					Tiels the heaves	\	
Completion date							
Award date							
Contract Identification							

- 2. Key Requirement no 2 in accordance with Criterion 2.4.3 of Section 3:
- 3. Key Requirement no 3 in accordance with Criterion 2.4.3 of Section 3:

### **Organizational Environmental, Health and Safety System**

### 2.5.1 Environmental, Health and Safety Certification

Criteria	Compliance	Documents		
Requirement	Single Entity or Its Specialist Subcontractors	Joint Venture or Its Specialist Subcontractors	Submission Requirements	
Availability of a valid ISO certification or internationally recognized equivalent (equivalency to be demonstrated by the Bidder), and applicable to the worksite:  ISO 14001 or equivalent	Must meet requirements	One member must meet requirements  Or  All members must meet requirements	Form EXP – 4  No forn	nat

### **Environmental, Health and Safety Documentation**

#### 2.5.2 Environmental, Health and Safety Documentation

Criteria	Compliance	e Requirements	Documents
Requirement	Single Entity or Its Specialist Subcontractors	Joint Venture or Its Specialist Subcontractors	Submission Requirements
Availability of in-house policies and procedures for EHS management compliant with Philippine laws, rules and regulations and government agency issuances.  1. Health and Safety on worksites policy and related guidance; 2. Local recruitment and EHS trainings of local staff/subcontractors/local partners; and 3. Waste management practice.	Must meet requirements	One member must meet requirements  Or  All members must meet requirements	Form EXP – 5

### **Environmental, Health and Safety Dedicated Personnel**

Criteria	Compliance	Documents	
Requirement	Single Entity or Its Specialist Subcontractors	Joint Venture or Its Specialist Subcontractors	Submission Requirements
Availability of in-house personnel dedicated to EHS issues:	Must meet requirements	One member must meet requirements	Form EXP – 6
<ol> <li>Department of Labor and Employment (DOLE) Accredited Safety Officer</li> </ol>		Or All members must meet requirements	No forma
2. Environmental Specialist			

#### **Valid PCAB License**

#### 2.6 Valid PCAB License

The bidder must at least be a PCAB License Category "AA", Size Range "Medium B" contractor.

Attachment: Copy of PCAB issued license

## Additional Requirements

- 1.7 Other Criteria
- 1.7.1 Site-Specific Environmental Management Plan (SSEMP) and Site Health and Safety Management Plan (SHSMP);

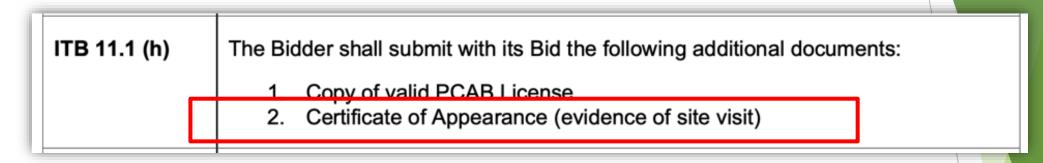
Any bid not accompanied by proposed outlines for SSEMP and SHSMP may be rejected by the Employer as nonresponsive. If a Bidder submits a SSEMP/SHSMP that is not commensurate with the risks and impacts of the proposed works and activities in the bidding document, the Employer shall issue a request for clarification to request for further information from the Bidder. The Bidder must submit the requested information within **five (5) working** days of receiving such a request. Failure to provide a satisfactory response to the request for further information within the prescribed period of receiving such a request may cause the rejection of the Bid.

### Outline only

#### Check

- ✓ SECTION 6. Employer's Requirements
- ✓ Annex F Initial Environmental Examination

# Additional Requirements



### SECTION 6. Employer's Requirements

All Bidders for this project shall first visit and examine the site, and verify and investigate the existing conditions that may affect the works to be carried out as described above. The Bidder is expected to submit proposed solutions of anticipated problems (if any) to the Employer and attach their certificate of appearance issued by the center administrator of the project site as part of its technical bid. The letter request for site inspection shall be submitted thru email at gsnsat@tesda.gov.ph and copy furnished bacsecretariat\_siptvets@tesda.gov.ph.

# Technical Proposal

SECTION 6. Employer's Requirements

#### **Key Personnel Requirements**

- X. QUALIFICATION OF DESIGN AND BUILD CONTRACTOR AND OTHER REQUIREMENTS
  - The DESIGN AND BUILD CONTRACTOR must have an active accreditation and certification from the Philippine Contractors Accreditation Board (PCAB) as a qualified DESIGN AND BUILD CONTRACTOR with at least <u>PCAB License Category "AA", Size</u> <u>Range "Medium B";</u> and
  - 2. The bidder's must proposed key personnel with the following minimum qualifications:

Key Personnel	Years of General Experience Required	Qualifications/ Documents Required
One (1) Construction / Project Manager	Seven (7) years	- Licensed Engineer / Architect - Curriculum Vitae - Valid and Current Professional Identification Card issued by the Professional Regulation Commission (PRC)
One (1) Site / Project Engineer	Five (5) years	<ul> <li>Licensed Civil         Engineer         </li> <li>Curriculum Vitae,             Valid, and Current             Professional             Identification Card             issued by PRC.</li> </ul>

#### Personnel

#### Form PER - 1: Proposed Personnel

Bidder should provide the details of the proposed personnel and their Information Forms below for each candidate:

1.	Construction / Project Manager
	Name
2.	Site / Project Engineer
	Name
3.	Architectural Design Manager
	Name
4.	Architect (Architecture In-Charge of Construction)
	Name
5.	Structural Design Engineer
	Name
6.	Electrical Design Engineer
	Name
7.	Mechanical Engineer

#### Form PER - 2: Resume of Proposed Personnel

The Bidder shall provide all the information requested below. Use one form for each position.

Position			
Personnel information	Full Legal Name	Date of birth	
	Known as	Place of Birth	
	Nationality	Citizenship	Ensure correctness and
	Type of Government ID	ID number	consistency of information
	Attach a copy of ID to this form		provided
	Professional qualifications		
Present employment	Name of employer	•	Submit attachments (i.e.
	Address of employer		valid PRC ID) to comply with
	Telephone	Contact (manager / personnel officer)	Section 6.
	Fax	E-mail	
	Job title	Years with present employer	

Summarize professional experience in reverse chronological order. Indicate particular technical and managerial experience relevant to the project.

From	То	Company, Project, Position and Relevant	
		Technical and Management Experience	
		We should be able to determin	e the years of
		general experience in this tabl	e. /

# Technical Proposal

### **Equipment**

#### Form EQU: Equipment

The Bidder shall provide adequate information and details to demonstrate clearly that it has the capability to meet the equipment requirements indicated in Section 6 (Employer's Requirements), using the Form below.

	Equipment Description	Proposed Quantity	Equipment Information	Source of Equipment	Current Status
	1. Backhoe w/ Breaker	2	Name of Manufacturer: [indicate full name]	Source: [specify if owned, co- owned, leased, to-be-leased]	Current location: [provide specific location]
			Model and power rating: [insert information]	Name of Owner / Lessor (if applicable): [indicate full name]	Details of current commitments (if any): [provide information where
			Capacity: [indicate capacity]	Address of Owner: [indicate street/number/town or	deployed, until when, and date when equipment is available, write
			Year of manufacture: [insert information]	city/country]	"immediately available" if equipment is readily available if awarded the
Dra	ovido c	omplot	o information	Contact Information: [indicate street/number/town or city/country]	contract]]
	ovide c	ompiei	e information	Details of lease/ rent/ manufacturing agreement (if applicable): [provide information]	

# Technical Proposal

**Site Organization** 

#### Site Organization

The Bidder shall provide adequate information of its organization through a chart. The chart shall show the interrelationship of each team to one another. In case of a JV, the division of responsibilities among JV members must be clearly shown in the chart. The key personnel, their roles and names, must be reflected in the organizational charts.

Organization Chart - Design & Construction / Renovation Team

Bidder's response:

[insert chart; do not leave blank]

Provide complete information. Do not leave blank.

# Technical Proposal

#### **Method Statement**

#### Method Statement

The method statement shall describe the Bidder's approach in undertaking the design and construction/ and management of the Works with consideration on the schedule of completion of the project.

#### Method Statement 1 The Bidder's Approach for Site Investigations and Detailed Design Works

The Method Statement 1, as a minimum, shall include the following:

- 1. Site Investigations, surveys and studies
  - Bidder to list down all site investigations, surveys and studies to be conducted, which must be consistent with Section 6 of this Bidding Documents.
  - Bidders to discuss timeline / schedule for each of the site investigations, surveys and studies.

Bidder Response: [insert response, do not leave blank]

- Detailed Design Methodology
  - · Bidder to discuss approach on carrying out its detailed design methodology.
  - Bidders to discuss timeline / schedule which must be consistent with Section 6 of this Bidding Documents.

Bidder Response: [insert response, do not leave blank]

- 3. Anticipated challenges in site investigations and detailed design works
  - Bidder to discuss foreseen challenges, issues or risks related to the conduct of site investigations and detailed design works. If the Bidder do not foresee any issue, please write "none".
  - Bidder to list down proposed prevention or mitigation plan to address the challenges and risks identified.

Bidder Response: [insert response, do not leave blank]

Provide complete information.

Do not leave blank.

Make sure it aligns with and is complete per the scope required under Section 6.

#### Method Statement 2 The Bidder's Approach and Method to Mitigate Noise and Vibration

The Method Statement 2, as a minimum, shall include the following:

- 4. Identification of Sources of Noises and Vibrations
  - Bidder to list down all equipment or/and construction activities which will have an effect in the site area and nearby surroundings for:
    - Construction of new building
    - Renovation / rehabilitation of existing facility

Bidder Response: [insert response, do not leave blank]

- Method of Measurement
  - Bidder to discuss approach on how to measure noise and vibrations to comply with the OSHA standards.

Bidder Response: [insert response, do not leave blank]

- 6. Proposed Mitigations
  - Bidder to discuss proposed mitigation plan to lessen the effect and impact of noise and vibration
  - Bidder to discuss method of how to address adverse complaints arising from noise and vibration

Bidder Response: [insert response, do not leave blank]

Provide complete information.

Do not leave blank.

Make sure <u>it aligns with</u> and is complete per the scope required under Section 6.